| | 9611666101 | | | | | | | | |
|---|---|--|--|--|--|--|--|--|--|
| (REV 10-94) | EPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE TO THE UNITED STATES | 09548.1019USWO | | | | | | | |
| Įi | DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371 | | | | | | | | |
| | | U.S. APPLICATION NO. (IT known, see 37 C.F.R. 1.5) Unknown 5 7 1 8 3 6 | | | | | | | |
| INTERNATIONAL APPLICATION NO. | INTERNATIONAL FILING DATE | PRIORITY DATE CLAIMED | | | | | | | |
| PCT/CN/2004/000569 | May 31, 2004 | December 21, 2003 | | | | | | | |
| TITLE OF INVENTION | | | | | | | | | |
| A SUPERANTIGEN FUSION PROTEIN FO (AS AMENDED) | OR ANTI-CANCER THERAPY AND METH | IODS FOR THE PRODUCTION THEREOF | | | | | | | |
| APPLICANT(S) FOR DO/EO/US | | | | | | | | | |
| Jialin SUN | | | | | | | | | |
| Applicant herewith submits to the United States D | Designated/Elected Office (DO/EO/US) the following | g items and other information: | | | | | | | |
| This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(l). A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date. | | | | | | | | | |
| A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. | | | | | | | | | |
| Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a. are transmitted herewith (required only if not transmitted by the International Bureau). b. have been transmitted by the International Bureau. c. have not been made; however, the time limit for making such amendments has NOT expired. d. have not been made and will not be made. | | | | | | | | | |
| _ | A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). | | | | | | | | |
| 9. A signed oath or declaration of the inver | A signed oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). | | | | | | | | |
| 10. A translation of the annexes to the Interr (35 U.S.C. 371(c)(5)). | <u> </u> | | | | | | | | |
| Items 11. to 16. below concern document(s) or i 11. An Information Disclosure Statement ur | information included: ider 37 CFR 1.97 and 1.98, Form 1449, 7 references | S. | | | | | | | |
| 12. An assignment document for recording. | A separate cover sheet in compliance with 37 CFR | 3.28 and 3.31 is included. | | | | | | | |
| 13. A FIRST preliminary amendment. A SECOND of SUBSEQUENT prelimin | □ A FIRST preliminary amendment. □ A SECOND of SUBSEQUENT preliminary amendment. | | | | | | | | |
| 14. A substitute specification. | | | | | | | | | |
| 15. A change of power of attorney and/or ad | dress letter. | | | | | | | | |
| | Data Sheet (3 pages), 4 sheets of formal drawings, ument CN 200310109829.7 and Certificate of | | | | | | | | |
| | • | | | | | | | | |

| U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5) | | | | INTERNATIONAL APPLICATION NO. | | ATTORNEY'S DOCKET NUMBER | | |
|---|---|---------------------|---------------------------|--|---------------------------|--------------------------|-----|--|
| Unknown 10/571836 | | | 36 | PCT/CN2004/000569 | • | 09548.1019USWO | | |
| BASIC NATIONAL FEE (37 CFR 1.492(a) (1)-(5)): ☑ a) Basic National fee\$300 | | | \$300 | | | | | |
| ☑ b) Examination fee\$200 | | | \$200 | | | | | |
| | | | \$500 | | | | | |
| | TOTAL OF ABOVE CALCULATIONS = | | | | | \$1000 | | |
| Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. | | | | | | | | |
| Total Sheets | E | Extra Sheets Number | | of each additional set of ts, more than 100 sheets | | | | |
| 31 -100 = | 0 | /50 = | 0 | | X \$250 | \$0 | | |
| Surcharge of \$130 for furnishing the oath or declaration later than [] 20 [] 30 months from the earliest claimed priority date (37 CFR 1.492(e)). | | | | | \$0 | | | |
| CLAIMS | - | NUMBER | FILED | NUMBER EXTRA | RATE | | | |
| Total claims | | 12 | -20 = | 0 | X \$50 | \$0 | | |
| Independent clain | าร | 5 | -3 = | 2 | X \$200 | \$400 | | |
| MULTIPLE DEP | ENDE | NT CLAIM(S) | (if applicabl | e) | + \$360 | \$0 | | |
| Ψ, | | | TOTAL | OF ABOVE CALC | ULATIONS = | \$1400 | | |
| Reduction by 1/2 for filing by small entity, if applicable. Small entity status is claimed pursuant to 37 CFR 1.27 | | | | \$700 | | | | |
| SUBTOTAL = | | | \$700 | | | | | |
| Processing fee of \$130 for furnishing the English translation later than [] 20 [] 30 months from the earliest claimed priority date (37 CFR 1.492(f). | | | | \$0 | | | | |
| TOTAL NATIONAL FEE = | | | | \$700 | | | | |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property + | | | | | \$0 | | | |
| TOTAL FEES ENCLOSED = | | | | \$700 | | | | |
| | | | | | Amount to be: refunded | \$0 | | |
| | | | | | | charged | \$0 | |
| a. | | | | | | | | |
| b. Please charge the amount of \$ to cover the required filing fee for a large entity to the credit card listed on the enclosed credit card authorization form. | | | | | | | | |
| c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-3478. | | | | | | | | |
| NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status. | | | | | | | | |
| | | | | | | | | |
| Michael D. Schumann | | | | | GNATURE: | Mmu- | | |
| P.O. Box 2902 | Hamre, Schumann, Mueller & Larson, P.C. P.O. Box 2902-0902 Minneapolis, MN 55402 NAME: Michael D. Schumann | | | | | | ın | |
| RE | | | GISTRATION NUMBER: 30,422 | | | | | |

UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

SUN

Attorney Docket:

09548.1019USWO

Title:

A SUPERANTIGEN FUSION PROTEIN FOR ANTI-CANCER

THERAPY AND METHODS FOR THE PRODUCTION THEREOF

(AS AMENDED)

CERTIFICATE UNDER 37 CFR 1.10

Express Mail mailing label number: EV 775295299 US

Date of Deposit: March 15, 2006

I hereby certify that the papers listed below are being deposited with the United States Postal Service Express Mail Post Office to Addressee service under 37 CFR 1.10 in an envelope addressed to: Mail Stop Application, Commissioner for Patents, P.O. Box 1450,

Alexandria, VA 22313-1450.

Name: Abbie Larkin

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 52835

Commissioner:

The following papers are transmitted herewith:

 \boxtimes Transmittal sheet, in duplicate, containing Certificate Under 37 CFR 1.10;

National Stage Patent Application including: Description - 17 pages; 10 Claims - 2 pages;

Abstract – 1 page; 7 pages of sequence listing; Drawings - 4 sheets (formal);

Signed Combined Declaration and Power of Attorney;

Application Data Sheet - 3 pages;

Information Disclosure Statement, Form 1449, 7 references

Check(s) for the amount of \$700 to cover the filing fee calculated below;

Other: Preliminary Amendment, PTO Form 1390, International Publication Page WO 2005/061531, Form PCT/ISA/210, translation of priority document CN 200310109829.7 and Certificate of

Translation of the same

 \boxtimes Return postcard

CLAIMS AS FILED

| Number of Claims Filed | No. | In Excess of | | Extra | | Rate (\$) | | Fee (\$) |
|-------------------------------|-----|--------------|--|-------|--|-----------|----|----------|
| Total Claims | 12 | 20 | | 0 | | 25 | = | 0 |
| Independent Claims | 5 | 3 | | 2 | | 100 | = | 200 |
| Multiple Dependent Claims Fee | | | | | | | = | 0 |
| Basic Filing Fee | | | | | | | = | 150 |
| Search Fee | | | | | | | = | 250 |
| Examination Fee | | | | | | · ·• | = | 100 |
| Utility Application Size Fee | 31 | 100 | | 0 | | 125 | II | 0 |
| Total | | | | | | | = | \$700 |

Please charge any additional fees or credit overpayment to Deposit Account No. 50-3478. A duplicate of this sheet is enclosed.

Hamre, Schumann, Mueller & Larson, P.C. P.O. Box 2902-0902 Minneapolis, MN 55402 612.455-3800

Name: Michael D. Schumann

Reg. No.: 30,422 Initials: MDS/BAW/acp